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BARNES AND THORNBURG

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FAX COVER SHEET

	NAME	COMPANY NAME	TELECOPY NO.
TO:	Office of Initial Patent Examinations' Filing Receipt Corrections	United States Patent and Trademark Office	703-746-9195

FROM: Kevin L. McLaren

DIRECT DIAL: 317-231-7776

E-MAIL: KMCLAREN@btlaw.com

DATE: December 10, 2004

TIME SENDING: _____

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 16

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Faxed: December ¹⁰8, 2004
Applicant: Iontcho R. Vlahov et al.
Invention: VITAMIN RECEPTOR BINDING DRUG DELIVERY CONJUGATES
Serial No.: 10/765,336
Filed: January 27, 2004
Docket: 20150-74359

☒ Request for Corrected Filing Receipt (2 pages)
☒ Red-marked Updated Filing Receipt (2 pages)
☒ Previously submitted documents (Declaration and Power of Attorney (9 pages)
☒ Notice to File Missing Parts of Non-provisional Application Under 37 C.F.R. § 1.53(b) (2 pages)

PLEASE ACKNOWLEDGE RECEIPT BY RETURN FAX

Response once received: Call Once Received

CLIENT# 20150
MATTER# 74359

☐ Original to follow by mail
☒ Original will not follow by mail

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*Customer No.* 23643*Group:* 1616*Confirmation No.:* 9879*Application No.:* 10/765,336*Invention:* VITAMIN RECEPTOR BINDING
DRUG DELIVERY CONJUGATES*Inventor:* Iontcho R. Vlahov et al.*Filed:* January 27, 2004*Attorney**Docket:* 20150-74359*Examiner:* UnknownCertificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being
facsimile transmitted to the United States Patent and
Trademark Office (Filing Receipt Corrections), fax
number 703-746-9195

on December 10, 2004


(Signature)

Joyce Hamilton
(Printed Name)

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's Filing Receipt Corrections
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

A copy of the Updated Filing Receipt for the above-identified application is attached with the requested change noted. The Filing Receipt incorrectly identifies the Power of Attorney as none. Upon receipt of the Notice to File Missing Parts, an executed Declaration and our Response to the Notice to File Missing Parts was sent to the United States Patent and Trademark Office on August 24, 2004. The Response to the Notice indicated the ten (10) practitioners to be recognized by the USPTO as being of record under Customer No. 23643 in the above-identified applications (copies attached).

Please correct the Filing Receipt to read: "Power of Attorney: The patent practitioners associated with Customer Number 23643."

Accordingly, Applicant respectfully requests that a corrected Filing Receipt be issued. Applicant also requests that all records be corrected to indicate the above change.

Applicant believes no fee is due for this Request. If it is determined that a fee is properly required, the Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 10-0435, with reference to our undersigned counsel's file 20150-74359.

Respectfully submitted,

BARNES & THORNBURG



Kevin L. McLaren
Attorney Reg. No. 48,351

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Indianapolis, IN
(317) 231-7776

INDS02 KLM 695209v1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/765,336	01/27/2004	1616	1009	20150-74359	8	63	7

23643
BARNES & THORNBURG
11 SOUTH MERIDIAN
INDIANAPOLIS, IN 46204

CONFIRMATION NO. 9879

UPDATED FILING RECEIPT

OC000000013853185

Date Mailed: 09/21/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Iontcho R. Vlahov, Lafayette, IN;
Christopher P. Leamon, West Lafayette, IN;
Matthew A. Parker, San Diego, CA;
Stephen J. Howard, West Lafayette, IN;
Hari Krishna Santhapuram, West Lafayette, IN;
Apparao Satyam, Mumbai, INDIA;
Joseph Anand Reddy, West Lafayette, IN;

Power of Attorney: None

*Power of the patent practitioners associated
with Customer Number 23643*

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/442,845 01/27/2003
and claims benefit of 60/492,119 08/01/2003
and claims benefit of 60/516,188 10/31/2003

Foreign Applications

If Required, Foreign Filing License Granted: 07/29/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,
US10/765,336

Projected Publication Date: 12/30/2004

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Vitamin receptor binding drug delivery conjugates

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BARNES & THORNBURG LLP***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Customer No. 23643
Group: 1616
Confirmation No.: 9879
Application No.: 10/765,336
Invention: VITAMIN RECEPTOR BINDING
DRUG DELIVERY CONJUGATES
Inventor: Iontcho R. Vlahov et al.
Filed: January 27, 2004
Attorney
Docket: 20150-74359
Examiner: Unknown

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on August 24, 2004

(Signature)

Joyce Hamilton
(Printed Name)

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. §1.53(b)

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts, Applicants submit herewith a combined Declaration and Power of Attorney executed by the named inventors of the captioned nonprovisional patent application. Applicants also submit check No. 340566 in the amount of \$65.00 to cover the fee for the late filing of the Declaration under 37 C.F.R. §1.16(e). The Commissioner is authorized to charge any additional fees, or credit any overpayment, in connection with this response to the account of Barnes & Thornburg LLP, Deposit Account 10-0435 with reference to matter No. 20150-74359.

Agent for applicants Iontcho R. VLAHOV, Christopher P. LEAMON, Matthew A. PARKER, Stephen J. HOWARD, Hari Krishna SANTHAPURAM, Apparao SATYAM, and Joseph Anand REDDY who signed the Declaration and Power of Attorney-- Patent Application documents on January 23, 2004, February 12, 2004, and March 2, 2004,

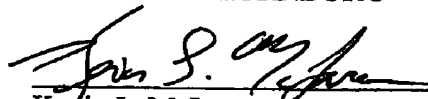
inclusive, for the nonprovisional U.S. Patent Application Serial No. 10/765,336, filed 27 January 2004, indicates the following ten (10) practitioners to be recognized by the United States Patent Office as being of record under Customer No. 23643 in the above-identified application:

<u>Attorney Name</u>	<u>Attorney Registration No.</u>
Bradford G. ADDISON	41486
Rebecca L. BALL	46535
Richard B. LAZARUS	48215
Kevin L. McLAREN	48351
Richard D. CONARD	27321
Jeffrey A. MICHAEL	37394
Paul B. HUNT	37154
Ronald S. HENDERSON	43669
James R. SWEENEY II	45670
Perry PALAN	26213

The foregoing designation of practitioners is being submitted to comply with 37 CFR § 1.32(c)(3) effective 25 June 2004 to fulfill the requirements under 37 C.F.R. § 1.53(b) under the circumstance wherein the original Declaration and Power of Attorney forms were executed in accordance with 37 C.F.R. § 1.68 for completion of the nonprovisional filing in effect at the time of execution, but wherein the law changed prior to the submission of the Declaration in the captioned patent application.

With the entry of the executed Declaration and Power of Attorney--Patent Application forms and this response, Applicants believe that all nonprovisional filing requirements have been completed.

Respectfully submitted,
BARNES & THORNBURG


Kevin L. McLaren
Agent Reg. No. 48,351

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Indianapolis, IN
(317) 231-7776
INDS02 KLM 664197v1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/765,336	01/27/2004	1616	1009	20150-74359	8	63	7

23643
BARNES & THORNBURG
11 SOUTH MERIDIAN
INDIANAPOLIS, IN 46204

CONFIRMATION NO. 9879

UPDATED FILING RECEIPT



OC000000013853185

Date Mailed: 09/21/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Matthew A. Parker, San Diego, CA;
Stephen J. Howard, West Lafayette, IN;
Hari Krishna Santhapuram, West Lafayette, IN;
Apparao Satyam, Mumbai, INDIA;
Joseph Anand Reddy, West Lafayette, IN;

Power of Attorney: None

*Power of the patent practitioners associated
with Customer Number 23643*

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/442,845 01/27/2003
and claims benefit of 60/492,119 08/01/2003
and claims benefit of 60/516,188 10/31/2003

Foreign Applications

If Required, Foreign Filing License Granted: 07/29/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,
US10/765,336

Projected Publication Date: 12/30/2004

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Vitamin receptor binding drug delivery conjugates

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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